Appl. No. 10/000,396 Amdt. Dated August 23, 2006 Reply to Office Action of May 23, 2006

Amendments to the Claims

This listing of claims will replace all prior version and listings of claims in the application:

Listing of Claims:

 (Currently amended) A method of analyzing network communication traffic on a data communication network for determining whether the traffic is legitimate or potential suspicious activity, comprising the steps of:

> monitoring <u>packet headers of</u> packets exchanged between two hosts on the data communication network:

based on the packet headers, determining the existence of identifying a client/server (C/S) flow as corresponding to a predetermined plurality of packets exchanged between the two hosts that relate to a single service and is characterized by a predetermined C/S flow characteristic;

assigning a concern index value to a determined C/S an identified flow based upon a predetermined concern index characteristic of the C/S flow;

maintaining an accumulated concern index comprising concern index values for one or more <u>determined</u> identified <u>C/S</u> flows associated with a host; and

issuing an alarm signal in the event that the accumulated concern index for a host exceeds an alarm threshold value.

(Currently amended) The method of claim 1, wherein the predetermined <u>C/S flow characteristic</u> of a <u>C/S</u> flow is selected from the group comprising: the elapse of a predetermined period of time wherein no packets are exchanged between two hosts, the occurrence of a FIN flag, predetermined characteristics of traffic on a given port, and the occurrence of a RESET packet.

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 (Previously presented) The method of claim 1, further comprising the step of communicating a message to a firewall to drop packets going to or from the particular host in response to the alarm signal.

- (Previously presented) The method of claim 1, wherein the alarm signal generates
 a potification to a network administrator.
- (Currently amended) The method of claim 1, wherein each concern index value associated with a predetermined <u>concern index characteristic event</u> is a predetermined fixed value.
- (Currently amended) A method of analyzing network communication traffic on a data communication network for determining whether the traffic is legitimate or potential suspicious activity, comprising the steps of:

monitoring <u>packet headers of packets</u> exchanged between two hosts that are associated with a single service on the data communications network;

based on the packet headers, determining the existence of a client/server (C/S) identifying a flow as corresponding to a predetermined plurality of packets exchanged between the two hosts;

collecting $\underline{C/S}$ flow data from packet headers of the packets in the $\underline{determined}$ identified flow;

based on the collected <u>C/S</u> flow data, assigning a concern index value to the <u>a determined C/S</u> flow based on a predetermined <u>concern</u> <u>index</u> characteristic of the <u>C/S</u> flow;

maintaining an accumulated concern index from $\underline{\text{C/S}}$ flows that are associated with a particular host;

issuing an alarm signal in the event that the accumulated concern index for the particular host exceeds an alarm threshold value; and

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component.

in response to the alarm signal, sending a message to a utilization

- (Previously presented) The method of claim 6, wherein the utilization component
 is selected from the group comprising: network security device, email, SNMP
 trap message, beeper, cellphone, firewall, network monitor, user interface display
 to an operator.
- (Currently amended) A method of analyzing network communication traffic on a data communication network for determining whether the traffic is legitimate or potential suspicious activity, comprising the steps of:

monitoring the <u>packet headers from an</u> exchange of packets
between two hosts each having a particular Internet Protocol (IP) address;

<u>based on monitored packet headers, determining the existence of a client/server (C/S) identifying a flow as</u> corresponding to a predetermined plurality of packets exchanged between a particular port of one of the hosts that remains constant during the plurality of packets;

collecting <u>C/S</u> flow data from packet headers of the packets in <u>a</u> <u>determined C/S</u> the identified flow;

based on the collected <u>C/S</u> flow data, assigning a concern index value to the a determined C/S flow;

maintaining a host data structure containing accumulated concern index values from a plurality of <u>determined C/S</u> flows that are associated with the particular host; and

issuing an alarm in the event that the accumulated concern index values for the particular host has exceeded an alarm threshold value.

(Previously presented) The method of claim 8, wherein each concern index value
associated with a respective potential suspicious activity is a predetermined fixed
value.

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 (Currently amended) A system for analyzing network communication traffic and determining potential suspicious activity, comprising:

a computer system operative to:

- a) monitor <u>packet headers resulting from</u> the communication of packets on a data communication network;
- b) <u>based on monitored packet headers</u>, classify the monitored packets into <u>client/server (C/S)</u> flows, wherein a <u>C/S</u> flow corresponds to a predetermined plurality of packets exchanged between two hosts that are associated with a single service on the network:
- analyze the <u>C/S</u> flows in order to assign a concern index value to a <u>C/S</u> flow that may signify potential suspicious activity, wherein each concern index value associated with a respective potential suspicious activity is of a predetermined fixed value;
- d) generate an alarm signal in response to cumulated concern index values; and

a communication system coupled to the computer system operative to receive packets communicated between hosts on the network.

 (Currently amended) A system for analyzing network communication traffic and determining potential suspicious activity, comprising:

a processor operative to:

- a) monitor <u>packet headers resulting from</u> the communication of packets on a data communication network;
- b) classify the monitored packets into client/server (C/S)
 flows, wherein a C/S flow corresponds to a predetermined
 plurality of packets exchanged between two hosts that are
 associated with a single service on the network;

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- maintain a flow data structure for storing data corresponding to a plurality of <u>C/S</u> flows;
- analyze the <u>C/S</u> flows in the flow data structure in order to assign a concern index value to a <u>C/S</u> flow that may signify potential suspicious activity, wherein each concern index value associated with a respective potential suspicious activity is of a predetermined fixed value;
- c) cumulate assigned concern index values of one or more <u>C/S</u>
 flows associated with a particular host:
- maintain a host data structure for storing data associating a cumulated concern index value with each one of a plurality of hosts; and
- g) generate an alarm signal in response to cumulated concern index values in the host data structure;

a memory coupled to the processor and operative to store the flow data structure and the host data structure; and

a network interface coupled to the processor operative to receive packets on the data communication network.

12. (Previously presented) A method of analyzing network communication traffic on a data communication network for potential suspicious activity, comprising the steps of:

> monitoring packets exchanged between two hosts on the data communication network:

identifying packets provided by one of the two hosts that have a transport level protocol specifying a packet format that includes a data segment;

in response to determination that the transport level protocol is a User Datagram Protocol (UDP) packet and the data segment associated with the UDP packet contains two bytes or less of data, storing a concern Appl. No. 10/000,396 Amdt. Dated August 23, 2006 Reply to Office Action of May 23, 2006

index value of a predetermined amount in a memory in association with information identifying the host that issued the UDP packet; and issuing an alarm when the cumulated concern index value associated with the host exceeds a predetermined threshold level.

- 13. (Currently amended) The method of claim 6, wherein a <u>C/S</u> flow is characterized by a predetermined <u>C/S</u> flow characteristic selected from the group comprising: the elapse of predetermined period of time where no packets are exchanged between two hosts, the occurrence of a FIN flag, predetermined characteristics of traffic on a given port, and the occurrence of a RESET packet.
- 14. (Currently amended) The method of claim 8, wherein a <u>C/S</u> flow is characterized by a predetermined <u>C/S</u> flow characteristic selected from the group comprising: the clapse of a predetermined period of time wherein no packets are exchanged between two hosts, the occurrence of a FIN flag, predetermined characteristics of traffic on a given port, and the occurrence of a RESET packet.
- 15. (Currently amended) The system of claim 10, wherein a <u>C/S</u> flow is characterized by a predetermined <u>C/S</u> flow characteristic selected from the group comprising: the elapse of a predetermined period of time wherein no packets are exchanged between two hosts, the occurrence of a FIN flag, predetermined characteristics of traffic on a given port, and the occurrence of a RESET packet.
- 16. (Currently amended) The system of claim 11, wherein a <u>C/S</u> flow is characterized by a predetermined <u>C/S</u> flow characteristic selected from the group comprising: the clapse of a predetermined period of time wherein no packets are exchanged between two hosts, the occurrence of a FIN flag, predetermined characteristics of traffic on a given port, and the occurrence of a RESET packet.
- (Previously presented) The method of claim 1, wherein the single service comprises a port number remaining constant for a plurality of packets.

 (Previously presented) The method of claim 1, wherein the suspicious activity is from an inside address or from an outside address.

19. (Previously presented) The method of claim 1, wherein the concern index for a

suspicious activity is derived by reference to a table of predetermined suspicious

activities each having a predetermined concern index value.

20. (Previously presented) The method of claim 1, wherein the host for which the

concern index is accumulated is an inside host.

21. (Previously presented) The method of claim 1, wherein the host for which the

concern index is accumulated is an outside host.

22. (Previously presented) The method of claim 1, wherein the steps are carried out

in a monitoring appliance.

23. (Previously presented) The method of claim 22, wherein the monitoring

appliance is installed behind a firewall.

24. (Previously presented) The method of claim 22, wherein the monitoring

appliance is connected before a firewall.

25. (Previously presented) The method of claim 22, wherein the monitoring

appliance is connected in a DMZ.

26. (Previously presented) The method of claim 22, wherein the monitoring

appliance is configured to operate as a pass-by filter.

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 (Previously presented) The method of claim 22, wherein the monitoring appliance is coupled to a network device.

- (Previously presented) The method of claim 27, wherein the network device is selected from group comprising: router, switch, hub, tap.
- (Previously presented) The method of claim 27, wherein the network device is a network security device.
- (Previously presented) The method of claim 1, wherein the monitoring of packets comprises monitoring on packet header information only.
- (Previously presented) The method of claim 1, wherein the monitoring of packets is carried out in a device operating in a promiscuous mode.
- (Previously presented) The method of claim 1, wherein the alarm signal is provided to a utilization component.
- 33. (Previously presented) The method of claim 32, wherein the utilization component is selected from the group comprising: network security device, email, SNMP trap message, beeper, cellphone, firewall, network monitor, user interface display to an operator.
- 34. (New) The method of claim 1, wherein the predetermined concern index characteristic comprises a characteristic selected from a table comprising a plurality of prestored second predetermined characteristics each having a predetermined concern index (CI) value.
- (New) The method of claim 1, wherein the predetermined concern index characteristic comprises one or more of the following characteristics: potential

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TCP probe, potential UDP probe, half-open attack, TCP stealth port scan, UDP stealth port scan, bad flags, short UDP, address scan, port scan.

- 36. (New) The method of claim 6, wherein the predetermined concern index characteristic comprises a characteristic selected from a table comprising a plurality of prestored second predetermined characteristics each having a predetermined concern index (CI) value.
- (New) The method of claim 6, wherein the predetermined concern index characteristic comprises one or more of the following characteristics: potential